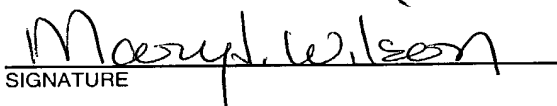


1007 Rec'd PCT/PTO 14 JAN 2002

FORM PCT/390 (REV 11-2000)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER <b>620-180</b>
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) <b>10/030706</b> unknown
INTERNATIONAL APPLICATION NO. <b>PCT/GB00/02743</b>	INTERNATIONAL FILING DATE <b>17/07/2000</b>	PRIORITY DATE CLAIMED <b>16/07/1999</b>
TITLE OF INVENTION <b>METHODS EMPLOYING BACTERIAL TOXIN-ANTITOXIN SYSTEMS FOR KILLING EUKARYOTIC CELLS</b>		
APPLICANT(S) FOR DO/EO/US <b>DE LA CUEVA MENDEZ, G. et al.</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input type="checkbox"/> The U.S. has been elected by the expiration of 19 months from the priority date (Article 31).</p> <p>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>a. <input type="checkbox"/> is attached hereto.</p> <p>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</p> <p>b. <input type="checkbox"/> have been communicated by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has <b>NOT</b> expired.</p> <p>d. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A English language translation of the annexes of the International Preliminary Examination Report under PCT Article 35 (35 U.S.C. 371(c)(5)).</p> <p>Items 11 To 20 below concern document(s) or information included:</p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A FIRST preliminary amendment.</p> <p>14. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information. PTO Form 1449</p>		

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <b>10/21/00 706</b>		INTERNATIONAL APPLICATION NO <b>PCT/GB00/02743</b>		ATTORNEY'S DOCKET NUMBER <b>620-180</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted:				<b>CALCULATIONS</b> PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> -- Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO .....\$1040.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO .....\$890.00 -- International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO .....\$740.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) .....\$710.00 -- International preliminary examination fee (37 C.F.R. 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00					
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				\$	890.00
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).				\$	130.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	24	-20 =	4	X	\$18.00
Independent Claims	2	-3 =	0	X	\$84.00
MULTIPLE DEPENDENT CLAIMS(S) (if applicable)					\$280.00
<b>CLAIM FEES ARE NOT BEING PAID AT THIS TIME</b>				<b>TOTAL OF ABOVE CALCULATIONS =</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					0.00
<b>SUBTOTAL =</b>				\$	<b>1372.00</b>
Processing fee of \$130.00, for furnishing the English Translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(f)).					0.00
<b>TOTAL NATIONAL FEE =</b>				\$	<b>1372.00</b>
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). <b>\$40.00</b> per property				+	\$ 0.00
Fee for Petition to Revive Unintentionally Abandoned Application (\$1280.00 - Small Entity = \$640.00)				+	\$ 0.00
<b>TOTAL FEES ENCLOSED =</b>				\$	<b>1372.00</b>
				Amount to be:	
				refunded	\$
				Charged	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$1372.00 to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 14-1140 in the amount of \$_____ to cover the above fees. A duplicate copy of this form is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-1140. A duplicate copy of this form is enclosed. d. <input checked="" type="checkbox"/> The entire content of the foreign application(s), referred to in this application is/are hereby incorporated by reference in this application.					
<b>NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					
<b>SEND ALL CORRESPONDENCE TO:</b> NIXON & VANDERHYE P.C. 1100 North Glebe Road, 8 <sup>th</sup> Floor Arlington, Virginia 22201-4714 Telephone: (703) 816-4000					
				 SIGNATURE	
				<b>Mary J. Wilson</b> NAME	
				<b>32,955</b> REGISTRATION NUMBER	
				<b>January 14, 2002</b> Date	

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

DE LA CUEVA MENDEZ, G. et al.

Atty. Ref.: 620-180

Serial No. unknown

Group:

Filed: January 14, 2002

Examiner:

For: METHODS EMPLOYING BACTERIAL TOXIN-ANTITOXIN SYSTEMS FOR  
KILLING EUKARYOTIC CELLS

\* \* \* \* \*

January 14, 2002

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**PRELIMINARY AMENDMENT**

In order to place the above-identified application in better condition for  
examination, please amend the application as follows:

**IN THE SPECIFICATION**

Please insert the following paragraph in the specification.

Page 1, before the first line, insert as a separate paragraph:

This application is the US national phase of international application  
PCT/GB00/02743 filed 17 July 2000, which designated the US.

**IN THE CLAIMS**

Please substitute the following amended claims for corresponding claims previously presented. A copy of the amended claims showing current revisions is attached.

3. A method according to claim 1 wherein the cells are plant cells.
5. A method according to claim 1 wherein the toxin is a bacterial toxin of a post-segregational killing system.
6. A method according to claim 1 wherein the toxin interferes with DNA replication, and thereby impedes cell cycle progression and/or triggers programmed cell death.
7. A method according to claim 5 wherein the toxin targets *DnaB*.
10. A method according to claim 1 wherein said toxin is provided within said cells by means of nucleic acid encoding said toxin under control of appropriate control elements for expression.
12. A method according to claim 1 or 11 comprising providing to said cells said toxin and an antidote to the toxin, wherein both toxin and antidote are proteins, and

controlling activity of said antidote on said toxin to control activity of said toxin on said cells.

14. A method according to claim 12 wherein selectivity for expression said toxin within target cells is effected by a combination of (i) up-regulation of toxin production in target cells and (ii) down-regulation of toxin production in non-target cells and/or neutralisation of toxin activity in non-target cells.

16. A method according to claim 12 wherein said target cells are tumour cells.

17. A method according to claim 11 wherein said toxin is *ParD* kid protein and said antidote is *ParD* kis protein.

18. A composition comprising:

(i) a bacterial toxin and an inhibitor of said toxin, optionally an antidote to said toxin wherein both toxin and antidote are proteins, or

(ii) nucleic acid encoding a bacterial toxin and an inhibitor of said toxin, optionally an antidote to said toxin wherein both toxin and antidote are proteins, for use in a therapeutic method according to claim 4 or 11.

Please cancel Claim 19.

DE LA CUEVA MENDEZ, G. et al.  
Serial No. unknown

10030706 .04.1002

**REMARKS**

Attached hereto is a marked-up version of the changes made to the claims by the current amendment. The attached page(s) is captioned "**Version With Markings To Show Changes Made.**"

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

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**VERSION WITH MARKINGS TO SHOW CHANGES MADE**

**IN THE CLAIMS**

3. A method according to claim 1 ~~or claim 2~~ wherein the cells are plant cells.
5. A method according to ~~any one of the preceding claims~~ 1 wherein the toxin is a bacterial toxin of a post-segregational killing system.
6. A method according to ~~any one of the preceding claims~~ 1 wherein the toxin interferes with DNA replication, and thereby impedes cell cycle progression and/or triggers programmed cell death.
7. A method according to claim 5 ~~or claim 6~~ wherein the toxin targets *DnaB*.
10. A method according to ~~any one of the preceding claims~~ 1 wherein said toxin is provided within said cells by means of nucleic acid encoding said toxin under control of appropriate control elements for expression.
12. A method according to ~~any one of the preceding claims~~ 1 or 11 comprising providing to said cells said toxin and an antidote to the toxin, wherein both toxin and antidote are proteins, and controlling activity of said antidote on said toxin to control activity of said toxin on said cells.

14. A method according to claim 12 ~~or claim 13~~ wherein selectivity for expression said toxin within target cells is effected by a combination of (i) up-regulation of toxin production in target cells and (ii) down-regulation of toxin production in non-target cells and/or neutralisation of toxin activity in non-target cells.

16. A method according to ~~any one of claims 12 to 15~~ wherein said target cells are tumour cells.

17. A method according to ~~any one claims 11 to 16~~ wherein said toxin is *ParD* protein and said antidote is *ParD* protein.

18. A composition comprising:

(i) a bacterial toxin and an inhibitor of said toxin, optionally an antidote to said toxin wherein both toxin and antidote are proteins, or

(ii) nucleic acid encoding a bacterial toxin and an inhibitor of said toxin, optionally an antidote to said toxin wherein both toxin and antidote are proteins, for use in a therapeutic method according to ~~any one of claims 4 or 11 to 17~~.



An important area of application is in treatment of tumours, cancer, psoriasis, arteriosclerosis and other hyper-proliferative disorders. Other applications of embodiments of the present invention include targeting any desired eukaryotic cell for killing or at least inhibition of growth. This may include cell lineage knock-outs and targeted



be able to provide pathogen resistance, even where the mechanism inducing cell death is not triggered by any pathogen resistance gene. For instance, genes coding for substances leading to rapid cell death, such as BARNASE or diphtheria toxin may be use to induce the changes that lead to acquired resistance even though cell death in these latter examples is not caused by activation of the defence response. BARNASE is a ribonuclease from *Bacillus amyloliquifaciens* (Hartley (1988) J. Mol. Biol. 202: 913-915; Hartley (1989) Trends Biochem. Sci. 14: 450-454) and there is a corresponding protein called BARSTAR which inhibits BARNASE by forming a complex with it.

Use of embodiments of the present invention in plants may be used to generate protection against attack from fungi, bacteria, viruses or nematodes.

Plants of particular interest for use in embodiments of the present include cereals, maize, corn, wheat, barley, oats, rice, Brassicas, curcubits, potatoes, tomatoes, cotton, soya bean, and carrot.

Another use of embodiments of the present invention in plants include generation of male sterility(Mariani et al. *Nature* 357 384-387). For instance toxin or a toxin system in accordance with the present invention may be introduced into plants under appropriate control for tapetal-specific expression (Seurinck et al. (1990) *Nucleic Acids Res.* 18: 3403; Koltunow et al. (1990) *Plant Cell* 2, 1201-1224; Mariani et al (1990) *Nature*

347: 737-741). Male sterility in plants facilitates hybrid seed generation by preventing self-pollination, allowing agriculturalists to take advantage of so-called "hybrid vigour" by which crosses between inbred plant lines often  
 5 result in progeny with higher yield and increased resistance to disease. Provision of horticultural or ornamental plants lacking ability to make pollen may be used to reduce allergy problems of local inhabitants or for aesthetic reasons (e.g. in lilies, where anthers are currently removed by hand).

10

A further use in plants is in generation of seedlessness, often desirable for convenience and taste in produce such as watermelons, grapes, oranges and related fruits, tomatoes, peppers, cucumbers and so on. Toxin can be placed under  
 15 regulatory control of a seed-specific promoter, such as the promoter of a seed storage protein (Higgins et al, (1984) *Ann. Rev. Plant. Physiol.* 35: 191-221; Goldberg et al (1989) *Cell* 56: 149-160). Examples of seed-specific promoters include those for bean  $\beta$ -phaseolin (Sengupta-Gopalan et al, (1985)  
 20 *PNAS USA* 82: 3320-3324), bean lectin (Voelker et al (1987) *EMBO J.* 6: 3571-3577), soybean lectin (Ocamuro et al. (1986) *PNAS USA* 83: 8240-8344), rapeseed napin (Radke et al. *Theor. Appl. Genet.* 75: 685-694), maize zein (Hoffman et al (1987) *EMBO J.* 6: 3213-3221), barley  $\beta$ -hordein (Marris et al (1988) *Plant*  
 25 *Mol. Biol.* 10: 359-366) and wheat glutenin (Colot et al. (1987) *EMBO J.* 6: 3559-3564).

Prokaryotic plasmids have developed different genetic systems

Under normal circumstances, both components of a killer system are synthesized at a basal level in the host by its harbouring plasmid, allowing the host to survive. If a segregant

bacteria (i.e. a bacteria that has lost the plasmid) appears after cell division, another characteristic of these systems allows activation of the killing process in order to counter-select that specific cell: that is, the stability of the  
5     antidote is lower than the toxin. Thus, without a continuous synthesis of the antidote, its preferential degradation leads to the appearance of a non-neutralised toxin that is then able to exert its lethal effect over the host. This toxic effect can be executed affecting different cellular targets,  
10     depending on the specific killer system, for example DnaB dependent replication (parD, pem), DNA-gyrase complex (ccd), protein synthesis inhibition (KicB), and septum formation (kil), (for references see Holcik and Iyer, Microbiology (1997), 143, 3403-3416). Yarmolinsky describes in Science,  
15     Vol. 267 (1995) other putative "addiction molecules" like the type II restriction enzymes (putative toxins) Pae R7 and EcoRI and their cognate methylases, that enhance the apparent stability of their harbouring plasmids (the original reference for this addiction modules is in Naito et al. Science 267:897  
20     (1995)). In this work, Yarmolinsky also describes a couple of putative killer systems from bacteriophage lambda (Rex protein) and a couple of strains of *E. coli* carrying the gene cluster prr, that encodes for an anticodon nuclease that can be activated by a 26 residue polypeptide from bacteriophage T4  
25     and can then cleave a transfer RNA important for lysine incorporation into proteins. T4 is invulnerable to this protein because it encodes for a couple of otherwise non-essential proteins that undoes the damage. He also describes

strains of *E. coli* that carry defective prophage e14, and that accomplish exclusion by cleavage of elongation factor Tu and inhibiting translation globally.

5 ParD is one of these killer systems (Bravo et al. Mol. Gen. Genet. (1987) Nov. 210(1): 101-10; Bravo et al. Mol. Gen. Genet. (1988). Dec. 215(1): 146-51). It is encoded by Gram negative plasmid R1 and is composed of two genes: *kis* (for killing suppressor) and *kid* (for killing determinant) that  
10 encode for the antidote (10 KDa) and the toxin (12 KDa) respectively. ParD is a cryptic killer system that is tightly regulated to avoid its activation under circumstances that do not compromise R1 stability. Thus, it is controlled by coupled transcription (Ruiz-Echevarria et al. Mol. Microbiol.  
15 (1991) Nov. 5(11): 2685-93), by post-transcriptional processing of its bicistronic mRNA (Ruiz-Echevarria et al. Mol. Gen. Genet. (1995) Sep. 20 248(5): 599-609), by overlapped translation (Ruiz-Echevarria et al. Mol. Gen. Genet. (1995) Sep. 20 248(5): 599-609), and by a very tight  
20 interaction between *Kis* and *Kid* to form a non-toxic complex that, at the same time, is able to repress transcription from its own promoter (Ruiz-Echevarria et al. Mol. Microbiol. (1991) Nov. 5(11): 2685-93). Genetic organisation of *ParD* favours coupled transcription, overlapped translation and  
25 post-transcriptional modification of some of the obtained mRNA. *Kis/Kid* complexes repress transcription of *kis* and *kid* genes.

ParD homologues have been described at least in plasmid R100 (pem system) (Tsuchimoto et al. J. Bacteriol. (1988) Apr. 170(4): 1461-6; Tsuchimoto et al. J. Bacteriol. (1992) Jul. 174(13): 4205-11; Tsuchimoto et al. Mol. Gen. Genet. (1993) 5 Feb. 237(1-2): 81-88); Masuda et al. J. Bacteriol. (1993) Nov. 175(21): 6850-6) and in *E. coli* chromosome (ChpA and ChpB systems) (Tsuchimoto et al. Mol. Gen. Genet. (1993) Feb. 237(1-2): 81-88). Others are revealed by database searching.

10 Kid inhibits initiation of replication of the *E. coli* genome and of DnaB (i.e. the main replicative helicase of *E. coli*) dependent replication plasmids (Ruiz-Echevarria et al. J. Mol. Biol. (1995) Apr. 7 247(4): 568-77), and over-expression of the latter titrates the toxic effect of the former in this  
15 organism *in vivo* (Ruiz-Echevarria et al. J. Mol. Biol. (1995) Apr. 7 247(4): 568-77), suggesting that DnaB is involved in the mechanism of inhibition by Kid. Recent observations in the inventors' laboratory strongly suggest that this inhibition is due neither to disassembly by Kid of DnaB  
20 hexameric complexes in solution nor to inhibition of its helicase activity over a wide range of substrates including oriC, the replication origin of the *E. coli* genome. Without wishing to be limited by theory, it may be that loading of DnaB at the origin of replication is the process inhibited by  
25 Kid, either by direct interaction between them and/or mediated by a third component (DNA or protein) yet to be described. Current research is focused on the identification of the exact mechanism of action of Kid from a molecular point of view.



Until the work of the present inventors disclosed herein it was not obvious that prokaryotic systems that have evolved for specific roles in bacteria could function in eukaryotic cells.

5 For instance, in a two-component killer system such as involving *kis/kid*, both components need to perform their respective functions - the toxin to kill cells in the absence of antidote (or when present in excess of antidote), and the antidote to both neutralise the toxin and be controllable, for  
10 instance by a mechanism involving rapid turnover. Preferably the toxin does not exert any side effect on cell viability. Rather, it is preferred that cell killing is via a programmed cell death mechanism such as apoptosis. In plants it may be preferred for certain applications to induce a necrotic  
15 response, e.g. in inducing or enhancing pathogen resistance.

The present inventors have shown that bacterial toxin and antidote are functional in eukaryotic cells, yeast, *Xenopus* and mammalian (in particular human), and can be controlled to  
20 inhibit cell cycle progression and cellular proliferation and to kill cells. It is shown in experiments described below that cells can be killed by apoptosis.

#### *Brief Description of the Figures*

25 Figure 1 shows results of experiments showing that a promoter induced by Cu<sup>2+</sup> later used for control of *Kis* antidote expression and a different promoter repressed by methionine later used for control of *Kid* toxin expression are both



controlled by CMV Pr which is unaffected by Doxycyclin.

Figure 6 shows emergence of the apoptosis marker Annexin V in cells subject to the experiments of which results are shown in 5 Figure 5, indicating the cell death caused by Kid to involve apoptosis.

According to one aspect of the present invention there is provided a method of inhibiting cell proliferation and/or cell 10 cycle progression, the method comprising providing within eukaryotic cells a bacterial toxin. The bacterial toxin is generally a toxin of a bacterial cell killing system, preferably of a post-segregational killing system. As is explained herein, these are mostly plasmid-borne in bacteria 15 although some are found on the bacterial chromosome, and others functional in bacterial cells are encoded by bacteriophage.

Preferably a toxin of use in the present invention interferes 20 with DNA replication, and thereby impedes cell cycle progression and/or triggers programmed cell death. As noted, other physiological processes may be inhibited by means of other toxins. The target of the toxin may be *DnaB* or DNA gyrase.

25

Preferably a bacterial toxin employed in the present invention triggers programmed cell death. Experiments described below

demonstrate use of bacterial toxin to induce apoptosis in mammalian cells.

Some measure of control of toxin action is preferably employed in aspects of the present invention. Bacterial cell killing systems of use in the present invention naturally employ an antidote to the toxin. The present inventors have shown that both toxin and antidote of a bacterial cell killing system are functional in various eukaryotic cells and that their  
 10 respective activities can be controlled for selective inhibition of cellular proliferation or impedance of cell cycle progression, and/or induction of programmed cell death.

A bacterial cell killing system employed in the present  
 15 invention may comprise a toxin and an antidote which are both protein. Such a killing system is termed in the art a "proteic killer gene system" - Jensen & Gerdes, 1995, Mol. Microbiol. (1995) Jul 17(2): 205-10). A bacterial killer system of use in the invention may be an *E. coli* system or  
 20 other bacterium.

Examples of bacterial killer systems of use in the present invention, and comprising toxins of use in the present invention (for references see Holcik and Iyer (1997),  
 25 *Microbiology*, 143: 3403-3416 and references therein, and "Horizontal Gene Pool: Bacterial Plasmids and Gene Spread" (1999), Ed. C M Thomas, Howard Academic Publishers, Chapter 2), include a bacterial plasmid-borne proteic killer gene



structurally and functionally similar to the proteins encoded by *hok* and *relF* (Poulsen, et al. (1989), *Mol. Microbiol.*, 3: 1463-1472). Gef protein is toxic and regulated by antisense RNA Sof.

5

Further systems of use and comprising toxins of use in the present invention include *SegB* operon epsilon (antidote) and zeta (toxin) of pSM19035 and pDB101 (Ceglowski et al. (1993) *Mol. Gen. Genet.* 241(5-6): 579-85; Ceglowski et al. (1993) 10 *Gene* 136(1-2): 1-12), *kicA* (antidote) and *kicB* (toxin) found in the *E. coli* chromosome (Feng, et al. (1984), *Mol. Gen. Genet.*, 243: 136-147), and the *kil/kor* systems carried by bacterial plasmids of the incompatibility groups P and N. See Holcik and Iyer (*Microbiology* (1997) 143: 3403-3416) for 15 examples and references. See also Jensen and Gerdes (*Mol. Microbiol.* (1995) 17(2), 205-210) and Yarmolinsky (*Science*, (1995) 267, 836-837) for reviews of proteic killer gene systems, noted to have striking similarities in both structure and function.

20

The toxin of any of these systems may be employed with the respective antidote. Alternatively or additionally, the toxin may be employed with one or more other elements which inhibit or block its activity (which may be by inhibiting or blocking 25 its production) as discussed.

In preferred embodiments both toxin and antidote of a bacterial cell killing system as disclosed, or toxin and other

Control elements may include any one or more of those  
25 available in the art allowing for selective variation of the  
ratio of toxin versus antidote. Examples include an  
inducible, repressible or constitutive promoter, antisense  
constructs and their activator or repressors, ribozymes,

splicing sequences and splicing factors, recombination systems (e.g. Cre-lox or FLP), wild-type or modified Internal Ribosome Entry Sites (IRES) (Schmid and Wimmer (1994), *Arch. Virol. Suppl.*, 9: 279-89; Borman, et al. (1994), *EMBO J.*, 1:13(13): 5 3149-57) and IRES inhibitors such as a yeast RNA that inhibits entry of ribosomes at some IRES (Das, et al. (1996), *J. Virol.*, 70(3): 1624-32; Das, et al. (1998), *J. Virol.*, 72(7): 6638-47; Das, et al. (1998), *Front Biosci.*, 1:3: D1241-52; Venkatosan, et al. (1999), *Nucleic Acids Res.* 15:27(2): 562- 10 72), elements that allow transcriptional interference between promoters (Greger and Proudfoot (1998), 17:17(16): 4771-9; Eggermont and Proudfoot (1993), *EMBO J.*, 12(6): 2539-48; Bateman and Paule (1998), *Cell*, 23:54(7): 985-92; Ponnambalam and Busby (1987), *FEBS Lett.*, 9:212(1): 21-7; Greger, et al. 15 (1998), *Nucleic Acids Res.*, 1:26(5): 1294-301), inteins (Chong et al. (1996) *J. Biol Chem* 271(16): 22159-68).

Activity of a bacterial toxin may be controlled by control of its production by expression from nucleic acid under control 20 of a regulatable promoter. It may be controlled by means of its natural antidote, which may be a protein or RNA. As noted, some natural antidotes are antisense RNAs that regulate production of toxin. Artificial antidotes or inhibitors may be designed and employed to control activity of any toxin. 25 So, for example, an antisense RNA or ribozyme may be designed to inhibit or block production of any toxin, even where the natural antidote of the toxin is a protein. A further option is to employ instead of natural antidote a different protein



that inhibits the toxin, for instance a protein (such as an antibody or binding fragment) that can be intracellularly expressed and which will bind the toxin within cells to neutralise its action. Any one or more of these various  
5 approaches can be applied as alternatives or in combination.

One further aspect of the present invention provides a eukaryotic vector comprising nucleic acid encoding a toxin or cell killing system as disclosed. Such a vector may be used  
10 to provide the toxin or cell killing system to eukaryotic cells.

Nucleic acid encoding a bacterial toxin and antidote may be provided as part of a vector or vectors suitable for  
15 transformation of eukaryotic cells. Preferably the vector is suitable for transformation of target cells, for instance it may be suitable for transformation of plant cells (e.g. an *Agrobacterium* vector). Where two components of a bacterial killing system are employed, or a toxin is employed and a  
20 specifically designed regulatory element is employed (e.g. antisense or ribozyme), preferably both components and regulatory elements for control of expression are provided on the same vector, but may be provided on separate vectors. Either or both of the encoding nucleotide sequences may be  
25 under transcriptional control of a specific and/or regulatable promoter. Toxin- and antidote- encoding sequences may be provided in a "tail-to-tail" or inverted orientation, or in a head-to-tail orientation.



expression, and analysis of proteins, are described in detail in *Short Protocols in Molecular Biology*, Second Edition, Ausubel et al. eds., John Wiley & Sons, 1992. The disclosures of Sambrook et al. and Ausubel et al., along with  
5 all other documents cited herein, are incorporated by reference.

A bacterial toxin and/or antidote or cell killing system may be provided in accordance with the present invention to a  
10 eukaryotic cell selected from mammalian, human or non-human such as rabbit, guinea pig, rat, mouse or other rodent, cat, dog, pig, sheep, goat, cattle or horse, bird, such as a chicken, yeast, fungi, amphibian, fish, worm, and plant. Plants which may be employed in the present invention have  
15 been noted already above.

A further aspect of the present invention provides a eukaryotic cell containing nucleic acid encoding a bacterial toxin and/or antidote or cell killing system as disclosed  
20 herein, under appropriate regulatory control. The nucleic acid may be integrated into the genome (e.g. chromosome) of the cell. Integration may be promoted by inclusion of sequences which promote recombination with the genome, in accordance with standard techniques. The nucleic acid may be  
25 on an extra-chromosomal vector within the cell.

A still further aspect provides a method which includes introducing the nucleic acid into a eukaryotic cell. The

introduction, which may (particularly for *in vitro* introduction) be generally referred to without limitation as "transformation", may employ any available technique. For eukaryotic cells, suitable techniques may include calcium phosphate transfection, DEAE-Dextran, electroporation, liposome-mediated transfection and transduction using retrovirus or other virus, e.g. vaccinia or, for insect cells, baculovirus.

10 The introduction may be followed by causing or allowing expression from the nucleic acid, e.g. by culturing cells (which may include cells actually transformed although more likely the cells will be descendants of the transformed cells) under conditions for expression of one or more components of the system, so that an encoded product is produced. The conditions may provide for cell killing (or inhibition of cell cycle progression, cell growth or proliferation, etc.), and/or neutralisation of the toxic effect when appropriate.

20

Introduction of nucleic acid may take place *in vivo* by way of gene therapy, as discussed below. A cell containing nucleic acid encoding a system according to the present invention, e.g. as a result of introduction of the nucleic acid into the cell or into an ancestor of the cell and/or genetic alteration of the sequence endogenous to the cell or ancestor (which introduction or alteration may take place *in vivo* or *ex vivo*), may be comprised (e.g. in the soma) within an organism which



Upregulation of toxin and/or antidote production, depending on context, may be achieved by a number of means. A preferred approach is to employ a promoter or other regulatory element  
25 that is inducible under certain conditions, allowing for control of expression by means of application of an appropriate stimulus.

A tumour specific promoter such as telomerase RNA promoter may be employed. In plants nematode inducible promoters such as TobRB7 (Opperman et al., *Science* 263: 221-223) and PRP1 (pathogenesis related protein - see e.g. Payne et al. (1989) *Plant Molecular Biology* 12: 595-596; also Memelink et al. (1990) *Plant Molecular Biology* 14: 119-126 and Payne et al. (1990) *Proc. Natl. Acad. Sci. USA* 87: 98-102) may be employed.

Downregulation of toxin and/or antidote, again depending on context, may also be achieved by means of regulation of gene expression using an appropriate promoter or other regulatory element, including a repressor element, such as Tet Pr. Other approaches which may be employed include antisense regulation and ribozymes (discussed further below).

15

Thus, for example, antidote production may be downregulated by production of an antisense transcript or ribozyme. The antisense transcript or ribozyme may be produced on application of an appropriate stimulus, and may be produced by expression from a sequence under transcriptional control of an inducible promoter or other regulatory element.

By "promoter" is meant a sequence of nucleotides from which transcription may be initiated of DNA operably linked downstream (i.e. in the 3' direction on the sense strand of double-stranded DNA).

"Operably linked" means joined as part of the same nucleic

acid molecule, suitably positioned and oriented for transcription to be initiated from the promoter. DNA operably linked to a promoter is "under transcriptional initiation regulation" of the promoter.

5

The term "inducible" as applied to a promoter is well understood by those skilled in the art. In essence, expression under the control of an inducible promoter is "switched on" or increased in response to an applied stimulus

10 (which may be generated within a cell or provided exogenously). The nature of the stimulus varies between promoters. Some inducible promoters cause little or undetectable levels of expression (or no expression) in the absence of the appropriate stimulus. Other inducible

15 promoters cause detectable constitutive expression in the absence of the stimulus. Whatever the level of expression is in the absence of the stimulus, expression from any inducible promoter is increased in the presence of the correct stimulus. The preferable situation is where the level of

20 expression increases upon application of the relevant stimulus by an amount effective to provide the desired result. Thus an inducible (or "switchable") promoter may be used which causes a basic level of expression in the absence of the stimulus which level is too low to bring about the desired result (and  
25 may in fact be zero). Upon application of the stimulus, expression is increased (or switched on) to a level which brings about the desired result.



Examples of inducible promoters for use in aspects of the present invention include a minimal promoter, such as CMV minimal promoter, fused to an enhancer for wild-type p53 activation or mutant p53 repression whether bearing the consensus DNA binding sequence for wild-type p53, e.g. fragment A (Kern, et al. (1991), *Science*, 252(5013): 1708-11) or CON (Chen, et al. (1993), *Oncogene*, 8(8): 2159-66), or not, e.g. HIV 1-LTR (Subier, et al. (1994), *J. Virol.*, 68(1): 103-10; Gualberto and Baldwin (1995), *J. Biol. Chem.*, 25:270(34): 19680-3; Sawaya, et al. (1998), *J. Biol. Chem.*, 7:273(32): 20052-7, inducible or repressible promoters such as Tet Pr as discussed and galactose activatable GAL10-CYC1. For plants suitable promoters include the inducible GST-II promoter from maize (Jepson et al. (1994) *Plant Molecular Biology* 26:1855-1866), alcohol inducible promoter (e.g. alc-r - see e.g. Gatz (1998) *Nature Biotechnology* 16: 140), and the Cauliflower Mosaic Virus 35S (CaMV 35S) gene promoter that is expressed at a high level in virtually all plant tissues (Benfey et al, (1990) *EMBO J* 9: 1677-1684).

20

As noted, toxin production may be downregulated in non-target cells by employing elements for control of expression. Alternatively or additionally downregulation may employ antisense nucleic acid or ribozymes. Employing one or more of these approaches may allow for toxin production to be eliminated in non-target cells to the extent that antidote may not be required. One or more of these approaches may be employed in addition to use of antidote to neutralise toxin

Where target cells are tumour cells, and non-target cells are normal cells, advantage can be taken of the fact that p53 is mutated or its function inactivated in a large proportion of  
20 tumours. The p53 protein is a transcriptional activator in normal cells but is present in mutant form in a substantial proportion (40-80%) of human tumours. Even in tumours in which the p53 sequence is wild-type, its normal function in cell cycle control, DNA repair, differentiation, genome  
25 plasticity or apoptosis may be abrogated, for instance by interaction with cellular protein (e.g. mdm2) or oncoviral protein (e.g. SV40 T antigen, human papillomavirus E6 protein, adenovirus E1B protein, hepatitis B virus X protein, and

Epstein-Barr BZLF-1 protein), or by being sequestered in the cytoplasm, where the p53 protein is non-functional.

Accordingly, production of the antidote (or antisense RNA or a 5 ribozyme directed against the toxin) may be controlled by a promoter whose function is upregulated by wild-type p53 in normal cells but not by mutant p53 in tumour cells. Wild-type p53 protein binds to two copies of the consensus sequence 5'-PuPuPuC(A/T)(A/T)GpyPyPy-3' (SEQ ID NO. 1) and thereby  
10 transactivates the level of transcription from an operably linked promoter. Most of the mutations in the p53 gene lead to abrogation of the sequence-specific transcriptional activating function.

15 In further embodiments of the present invention, production of the toxin may be controlled by a promoter whose function is suppressed by wild-type p53 protein in normal cells, but is not suppressed or is even upregulated by mutant p53 protein, e.g. hsp70 promoter, mdm2 promoter and others. See for  
20 example "The Oncogene and Tumour Suppressor Gene Facts Book", Robin Hesketh, Academic Press, Second Edition (1997) Chapter p53, pages 446-463 and references therein.

The promoters of a number of cellular genes are negatively  
25 regulated by wild-type p53, include basic FGF (also activated by mutant p53), Bcl-2, human interleukin 6 and PCNA. Again, see "The Oncogene and Tumour Suppressor Gene Facts Book", Robin Hesketh, Academic Press, Second Edition (1997) Chapter

p53, pages 446-463 and references therein for examples. Viral promoters inhibited by wild-type p53 and in some cases activated by mutant versions are referenced in Deb et al. (1992) J. Virology, 66(10): 6164-6170.

5

Accordingly, such a promoter or a binding site for wild-type p53 from such a promoter may be operably linked to nucleic acid encoding the toxin. In normal cells, wild-type p53 protein suppresses production of the toxin. However, in 10 tumours where p53 is not functional and does not bind its binding site in the promoter, toxin production is derepressed.

Similarly, a response element which is activated by mutant p53 but not wildtype, such as from HIV1-LTR DNA sequences, may be 15 employed to provide for upregulation of toxin in tumour cells, or downregulation of antidote where a third component is employed to control antidote production in tumour cells. An element activated by mutant p53 element (for example) may be used to upregulate an antisense RNA, ribozyme or other factor 20 which downregulates antidote production in tumour cells.

In non-target cells production of toxin may be inhibited by using appropriate nucleic acid to influence expression by antisense regulation. Such approaches may be used to 25 downregulate antidote production in target cells. The use of anti-sense genes or partial gene sequences to down-regulate gene expression is now well-established. Double-stranded DNA is placed under the control of a promoter in a "reverse

orientation" such that transcription of the "anti-sense" strand of the DNA yields RNA which is complementary to normal mRNA transcribed from the "sense" strand of the target gene. The complementary anti-sense RNA sequence is thought then to  
5 bind with mRNA to form a duplex, inhibiting translation of the endogenous mRNA from the target gene into protein. Whether or not this is the actual mode of action is still uncertain. However, it is established fact that the technique works.

10 Another possibility is that nucleic acid is used which on transcription produces a ribozyme, able to cut nucleic acid at a specific site - thus also useful in influencing gene expression. Background references for ribozymes include Kashani-Sabet and Scanlon (1995). *Cancer Gene Therapy*, 2, (3)  
15 213-223, and Mercola and Cohen (1995). *Cancer Gene Therapy* 2, (1) 47-59.

Thus, an antisense RNA or ribozyme directed against toxin expression may be used to downregulate production in non-  
20 target cells. Antisense RNA or ribozyme production may be placed under control of a regulatable promoter so that such production can be downregulated in target cells (for instance by means of a p53 element as discussed above).

25 An approach to downregulating toxin production in non-target cells (e.g. normal cells), and/or upregulating toxin production in target cells (e.g. tumour cells), may be instead of or in addition to regulating antidote production.

A further possibility is to use antisense RNA or a ribozyme or other approach to downregulate antidote production in target cells. Upregulating production in target cells of an antisense RNA or ribozyme against antidote may be used to  
5 reduce levels of antidote in target cells and thereby increase toxin activity in those cells.

Control of translation may be employed, for instance by means of an internal ribosome entry sequence (IRES) which may be  
10 controlled using a RNA from yeast (Das, et al. (1996), *J. Virol.*, 70(3): 1624-32; Das, et al. (1998), *J. Virol.*, 72(7): 6638-47; Das, et al. (1998), *Front Biosci.*, 1:3: D1241-52; Venkatosan, et al. (1999), *Nucleic Acids Res.* 15:27(2): 562-72) or other that inhibit ribosome assembly at the IRES.

15

In further embodiments, the killing system, toxin and/or antidote or other inhibitor is provided to cells as protein, for instance by direct injection into target cells, such as in a tumour. In one embodiment, a carrier molecule is employed  
20 to facilitate uptake by cells, e.g. a 16 aa peptide sequence derived from the homeodomain of *Antennapedia* (e.g. as sold under the name "Penetratin"), which can be coupled to a peptide via a terminal Cys residue. The "Penetratin" molecule and its properties are described in WO 91/18981. Another  
25 example is VP22 (Elliott and O'Hare (1999) *Gene Ther* 6(1): 149-51; Dilber et al. (1999) *Gene Ther* 6(1): 12-21; Phelan et al. (1998) *Nat Biotechnol* 16(5): 440-3).

Expression and purification of a toxin antidote is straightforward. However, the toxic nature of a toxin such as the Kid protein makes these more difficult to over-express and purify. However, appropriate strategies are available or can  
5 be devised by those of ordinary skill in the art.

Exemplifying with reference to Kis/Kid, in absence of a Kid resistant genetic background, the Kis antidote may be co-expressed at the same time in the Kid overproducer strains. The tight interaction that takes place between both proteins  
10 to generate a neutralised complex allows purification from a whole bacterial extract and separation of the components afterwards by chaotropic denaturation and further chromatographic purification and renaturation of the toxic component. A bacterial one- or two- affinity chromatography-  
15 based approach has been designed to purify Kid and Kid variants in high amounts and a refolding protocol has been standardised to obtain active, pure and concentrated preparations of the parD system toxin. See the experiments described below. Such an approach may be used to purify other  
20 toxic components of different stability systems to be used in accordance with the present invention or other purpose.

A composition comprising nucleic acid, protein or cells according to the present invention may comprise at least one  
25 additional component, such as a pharmaceutically acceptable diluent, vehicle or carrier, or a solvent or carrier for delivery to the target organism, e.g. plant.

The present invention further provides nucleic acid, proteins, cells and compositions as disclosed herein for use in a method of treatment of the human or animal body by way of therapy, e.g. for treatment of tumours, cancer, psoriasis, 5 arteriosclerosis, any other hyper-proliferative disorder, or other disorder, the use of nucleic acid, protein, cells and compositions in the manufacture of a medicament for such treatment, and methods of treatment comprising administration of a medicament or pharmaceutical composition to a eukaryote. 10 Further aspects of the present invention provide methods comprising treating eukaryotic cells with nucleic acid, protein, cells or compositions as disclosed herein. The eukaryotic cells may be for example any yeast, mammalian, plant, amphibian, avian, fish or worm. Cells to be treated 15 may be *in vitro* or in culture, or may be comprised in a mammalian (e.g. human) body or plant or plant part (e.g. fruit, leaf, seed or other propagule).

Compositions, cells and methods according to the present 20 invention may be used in methods in which expression of a desired gene is targeted to desired cells, e.g. tumour cells as opposed to non-tumour cells. Such methods may be performed *in vivo* (e.g. by way of treatment of a human or animal body for therapeutic purposes), *ex vivo* (e.g. on cells removed from 25 a human or animal body, prior to return of the cells to the body) or *in vitro*. Compositions and cells may be used in the manufacture of a medicament for treatment in which expression of a desired gene is targetted to target cells (e.g. tumour





the route of administration.

Experimental support for the present invention will now be  
5 described by way of illustration. Various additional aspects  
and embodiments of the present invention will be apparent to  
those skilled in the art.

All documents mentioned in this document are incorporated by  
10 reference. "Comprising" herein is used with the meaning of  
"including", that is permitting the presence of one or more  
additional components or features.

#### EXAMPLE 1

15 *Effect of expression of the parD system in Saccharomyces  
cerevisiae*

Several plasmids with different constitutive and/or  
regulatable promoters were tested for their ability to express  
20 both components of the parD system separately in a controlled  
fashion. The results were similar with all the promoters  
used. In addition to the promoters used as described in  
detail in the following experiments, the inventors performed  
experiments using the ADH5 promoter (constitutive; Mumberg, *et*  
25 *al.* (1995), *Gene*, 14:156(1): 119-22) for kis and GAL10-CYC1  
(galactose activatable Guarente, *et al.* (1982), *Proc. Natl.*  
*Acad. Sci. USA*, 79(23): 7410-4) for kid.

10 Using a multicopy plasmid for the toxin expression has two  
advantages: first, it reduces the possibility of selecting  
cells that have inactivated that protein by mutation of its  
DNA, as each cell should have to inactivate all the copies  
(10-30 molecules per haploid genome for a 2 $\mu$  origin harbouring  
15 plasmid) of the kid gene present in each cell. Mutation of  
that gene in growth conditions in which the system is  
inactivated by expression of the antidote is unlikely as in  
that situation there is no selective pressure for the cells in  
order to accumulate mutations. This is verified by the fact  
20 that induction of the system exerts a clear inhibitory effect  
over *S. cerevisiae* growth (see below). Secondly, this  
approach showed that it is also possible to regulate the  
amount of mRNA of each component of the system by increasing  
or decreasing the number of encoding DNA molecules for each  
25 one (i.e. their copy number) without modifying the strength of  
their promoters. This allows greater flexibility in the  
design of systems in eukaryotes, e.g. for yeast, anti-fungals  
etc.

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Different *S. cerevisiae* strains transfected with *kis*<sup>+</sup>/*kid*<sup>+</sup>,  
*kis*<sup>+</sup>/*kid*<sup>-</sup> or *kis*<sup>-</sup>/*kid*<sup>-</sup> plasmids were grown in liquid selective  
medium (-Leu/-Trp) in presence of amounts of Cu<sup>2+</sup> and  
methionine that maintain the *parD* system in an inactivated  
5 state, before plating different serial dilutions of these  
cultures in solid media with a constant amount of methionine  
to give a constant expression of *Kid* (if any) in all the  
cases, but reduced concentrations of Cu<sup>2+</sup> to decrease  
expression of its antidote from plate to plate. *Kis* and *kid*  
10 harbouring cells were not able to grow in media without Cu<sup>2+</sup>  
and this effect is decreased as Cu<sup>2+</sup> concentration increases  
until it reaches approximately the same rate of growth as wild  
type (*kis*<sup>-</sup>/*kid*<sup>-</sup>) cells. In contrast, both *kis*<sup>+</sup>/*kid*<sup>-</sup> and wild  
type (*kis*<sup>-</sup>/*kid*<sup>-</sup>) cells were able to grow normally under all  
15 circumstances tested.

This experiment demonstrated that *Kid* and *Kis* are active as a  
toxin and its antidote respectively in yeast and that it is  
possible to regulate their activity (and thus *parD* activation  
20 or inactivation) by means of transcriptional control of its  
components in *S. cerevisiae*. It also provides indication that  
antidote expression alone has no side effects and that the  
biological process inhibited by the *parD* toxin is conserved  
among distantly evolved organisms.

25

#### EXAMPLE 2

*Effect of the proteins of the parD system in Xenopus laevis*



**EXAMPLE 3**

*Effect of the parD system in human cells*

The above results from yeast and amphibians show that Kid is  
 5 able to impede cell cycle progression through the cell cycle  
 in eukaryotes in a controlled fashion and that it is possible  
 in these organisms to substitute the prokaryotic regulatory  
 circuits that maintain the parD system in a silent state under  
 desired conditions by modulating transcription of both the  
 10 antidote and the toxin with different promoters.

For experiments in human cells a set of plasmids named pNATHA  
 (for plasmids with Neutralisable Activity that Triggers HeLa  
Apoptosis) was constructed. Their mechanism of action is  
 15 based in the observation that in HeLa Tet Off cells a  
 Cytomegalovirus Early promoter (CMV Pr) maintains a constant  
 level of transcription of a reporter gene independently of the  
 presence or absence of Tetracyclin (or Doxycyclin) in the  
 culture medium. On the other hand, using the same cell line,  
 20 a Tetracyclin regulatable promoter (Tet Pr) can decrease the  
 level of transcription of that reporter gene by more than  
 three orders of magnitude upon addition of the transcriptional  
 regulator. In the induced state (i.e. in absence of Dox) Tet  
 Pr directed transcription of the reporter gene is almost two  
 25 orders of magnitude higher than that of the same reporter gene  
 under control of the CMV Pr. In the uninduced state (i.e. in  
 the presence of Dox), the latter transcribes almost two orders  
 of magnitude more efficiently than the former (Figure 2).

This transcriptional behaviour offers a window that can be used to construct the pNATHA plasmids, in which both *kis* and *kid* genes are contained in the same DNA molecule, the antidote mRNA synthesis controlled by the Tet repressible promoter and the toxin messenger levels controlled by the CMV constitutive one. Both cassettes contained *Kis* and *Kid* were cloned in either direct or inverted orientations (Figure 5). Toxin and antidote can be cloned in a tail-to-tail or tail-to-head orientation as convenient and to take advantage of transcriptional interference under appropriate control. Both may be part of the same transcriptional unit if an IRES is placed between the coding sequences.

Additional variants of both the antidote and the toxin were tested in HeLa cells, after verifying their wild type-like activity *in vivo* in *E. coli*. A Nuclear Localisation Signal (NLS) was fused to *Kid* and *Kis* to test if it would confer a more efficient effect (if any in human cells) both impeding cell cycle progression or neutralising that impedance, respectively.

All pNATHA were stably transfected in a HeLa Tet Off cell line. The *in vivo* effect of both components of the *parD* system on these cells was analysed before and after addition of Doxycyclin to the different cultures. The first observation of this set of experiments is that, again, after induction of the system, cell growth rate is severely inhibited in HeLa *kis*+/*kid*+ and *nlskis*+/*kidnls*+ cells. This

suggests two different things: first, that immediate transport of the toxin into the nucleus (verified by confocal microscopy of Kid immunostained samples) does not impede its toxic effect, indicating the probable nuclear localisation of its cellular target(s); and second, that the wild type components of the parD system are as active as NLS-fused ones in HeLa cells, which indicates either that entry into the nucleus is not impeded for the wild type proteins, and/or that inactivation of the cellular target(s) by Kid can occur in the cytosol. After one or two days growing in presence of Doxycyclin, and up to ten days of treatment, an induced state of parD is detectable, as kis+/kid+ cells have increased doubling time, compared to kis+/kid- transfectants or to kis+/kid+ cells grown in absence of Doxycyclin (Figure 4). It should be noted that as only kis transcription is being modulated directly, while maintaining constant level of kid, the rate of growth for those kis+/kid+ stabilised transfectants is lower than that of their kis+/kid- counterparts in the same conditions. This could be due to a slight escape of the system at the level of its neutralisation ability if kid transcription is not reduced selectively at the same time.

The results showed progressive reduction of cell doublings of kis+/kid+ stable transfectants upon continued exposure to Doxycyclin (i.e. to non-neutralised toxin). The inventors were interested in whether it would be possible to provide a cytostatic and/or cytotoxic effect.



Percentage of dead cells was determined after treatment with sub-lethal doses of Doxycyclin of the different stable transfectants analysed previously. As indicated before, kis+/kid- HeLa cells showed an exponential growth rate along 5 time in both presence and absence of Doxycyclin. On the contrary, kis+/kid+ HeLa cells showed an exponential cell growth rate only when antidote transcription was maintained (i.e. in absence of Doxycyclin) but not in the opposite case, in which they reduced continuously their number of doublings 10 (Figure 5). It should be noted though that growth rate was reduced for kis+/kid- HeLa cells grown in presence of Doxycyclin compared to that of the same stabilised cell line grown in its absence. This effect may be due to long exposure to Doxycyclin even at sub-lethal doses and, in any case, it 15 does not lead to cell death. When dead cells were counted for all the samples, kis+/kid+ HeLa cells growing in presence of Doxycyclin (i.e. in presence of non-neutralised toxin) showed a 32% and 65% of dead cells at days five and ten of treatment, respectively, while all the other samples did not show more 20 than 9% even upon ten days of treatment (Figure 5). Annexin V (i.e. an early apoptotic marker) staining of the different samples analysed, demonstrates that the observed cell death in kis+/kid+ non-neutralised HeLa cell line was due to activation of apoptosis (Figure 6).

25

## MATERIALS AND METHODS

Saccharomyces cerevisiae

*Plasmids*

Oligonucleotides XhoIKis (5'CCGCTCGAGATGCATACCACCCGACTG3' - SEQ ID NO. 2) and KisNcoI (5'CATGCCATGGTCAGATTTCTCCTGACCAG3' - SEQ ID NO. 3) were used to amplify the kis coding region by 5 PCR from a mini-R1 derivative. The amplified product was digested with XhoI and NcoI and cloned in the plasmid pSAL1 to construct pSAL1Kis (Mascorro-Gallardo, et al. (1996), *Gene*, 172(1): 169-70). In a similar way, oligonucleotides ATGKid (5'ATGGAAAGAGGGGAAATCTG3' - SEQ ID NO. 4) and KidEcoRI (5'CGGAATTCCCATGTTCAAGTC3' - SEQ ID NO. 5) were used to 10 amplify the kid coding region using the same template and the product obtained was digested with EcoRI and cloned in the plasmid p424Met25 (Mumberg, et al. (1994), *Nucleic Acids Res.*, 25:22(25): 5767-8) digested with SmaI and EcoRI to construct 15 the plasmid p424Met25Kid. This plasmid was amplified in a bacterial strain that overproduces Kis at the same time to abolish selection of inactivated mutants during the cloning process.

20 *In vivo assay*

*Saccharomyces cerevisiae* strain W303 $\alpha$  (MAT  $\alpha$ , ade2-1, trp1-1, can1-100, leu2-3, 112, his3-11, ura3, psi+) was transformed with plasmids pSAL1 and p424Met25 (null), pSAL1Kis and p424Met25 (kis+/kid-) and pSAL1Kis and p424Met25kid 25 (kis+/kid+). These cells were grown in selective medium supplemented with 500  $\mu$ M of methionine and 200  $\mu$ M of SO<sub>4</sub>Cu to maintain the kis and kid promoters in an activated and repressed state respectively. The cultures were allow to grow

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until mid-log phase and then a 3  $\mu$ l drop of dilutions of each culture containing 15000, 1500 or 150 cells was posed in agar plates made of selective medium supplemented with 200  $\mu$ M of methionine to maintain a constant expression level of the kid gene and 0, 1, 5, 10, 20, 40, 80, 100 and 200  $\mu$ M of  $\text{SO}_4\text{Cu}$  to increase the expression level of the kis gene. The plates were incubated 48 hours at 30°C and the growth rate of each culture was analysed afterwards on each plate.

#### 10 Xenopus laevis

*Kis and Kid overproducers*

*MBPKis overproducer*

Oligonucleotides ATGKis (5'ATGCATACCACCCGACTG3' - SEQ ID NO. 6) and KisEcoRI (5'TCGGAATTCAGATTTCTCCTG3' - SEQ ID NO. 7) were used to amplify kis by PCR using a mini-R1 plasmid as template. The amplified product was digested with EcoRI and cloned in pMAL-c2 plasmid (Mumberg, et al. (1994), *Nucleic Acids Res.*, 25:22(25): 5767-8) between the XmnI and EcoRI sites to obtain the MBP- (Maltose Binding Protein) Kis overproducer.

*HisKisKid overproducer*

Oligonucleotides NdeIkid (5'GGAATTCCATATGCATACCACCCGACT3' - SEQ ID NO. 8) and kisBamHI (5'CGGGATCCTCAAGTCAGAATAGT3' - SEQ ID NO. 9) were used to amplify the coding regions of kis and kid in tandem from a mini-R1 derivative. The product of PCR was digested with NdeI and BamHI and cloned in pET15b (Invitrogen) between these sites. The resultant plasmid was

digested with NcoI and BamHI and the DNA fragment codifying for Hiskiskid was purified and subcloned between these same sites in pRG-recA-NHis (Giraldo, et al. (1998), *EMBO J.*, 3:17(15): 4511-26).

5

# *Protein purification*

## *MBPKis purification*

Kis protein was purified as a fusion with the Maltose Binding Protein (MBP). *Escherichia coli* strain DH5 $\alpha$  transformed with  
10 the plasmid pMBPKis was inoculated in 2 L of LB medium plus ampicillin (100  $\mu$ g/ml) at 0.04 units of Abs<sub>600nm</sub> and grown with shaking at 37°C until 0.4 units of Abs<sub>600nm</sub> were reached. MBPKis expression was induced then by addition of IPTG 100  $\mu$ M to the culture medium. Cells were grown for 4 hours at 37°C  
15 and then pelleted in a GS3 rotor and resuspended in 10 ml lysis buffer (20 mM Tris-HCl pH 8.0, 150 mM NaCl) and frozen in liquid nitrogen. After thawing cells, 2 mg of lysozyme was added to the suspension of cells and lysis was completed by incubation at 37°C for about 10 minutes, with cooling on ice  
20 every 3 minutes. A soluble fraction was obtained by addition of 40 ml of buffer 20 mM Tris-HCl pH 8.0, 600 mM NaCl and centrifugation at 30 Krpm at 4°C during 45 min in a 65 Ti rotor. MBPKis protein was purified by affinity chromatography through an amylose resin (BioLabs) following the manufacturers  
25 instructions in buffer 20 mM HEPES pH 7.5, 100 mM KCl, 1 mM DTT and 10% of ethyleneglycol. MBPKis fractions were pooled and purity and concentration of the protein were determined by coomassie staining on a SDS-PAGE gel and by spectrophotometric

analysis, respectively. Fractions were stored at  $-80^{\circ}\text{C}$ .

#### *Kid purification*

*Escherichia coli* strains C600 or TG1 transformed with the  
5 overproducer pRG $\Delta$ HisKisKid were grown in 2 L of LB medium plus  
ampicillin ( $100\text{ }\mu\text{g/ml}$ ) at 0.04 units of  $\text{Abs}_{600\text{nm}}$  and grown with  
shaking at  $37^{\circ}\text{C}$  until 0.4 units of  $\text{Abs}_{600\text{nm}}$  were reached.  
HisKis and Kid expression was induced then by addition of 25  
 $\mu\text{g/ml}$  of nalidixic acid to the culture medium. Cells were  
10 grown for 4 hours at  $37^{\circ}\text{C}$  and then pelleted in a GS3 rotor and  
resuspended in 10 ml lysis buffer (20 mM Tris-HCl pH 8.0, 150  
mM NaCl) and frozen in liquid nitrogen. After thawing, 2 mg  
of lysozyme was added to the suspension of cells and lysis was  
completed by incubation at  $37^{\circ}\text{C}$ . A soluble fraction was  
15 obtained by addition of 40 ml of buffer 20 mM Tris-HCl pH 8.0,  
600 mM NaCl and centrifugation at 30 Krpm at  $4^{\circ}\text{C}$  during 45 min  
in a 65 Ty rotor. This soluble fraction was precipitated by  
addition of 60% of ammonium sulfate and centrifugation at 40  
Krpm at  $4^{\circ}\text{C}$  for 60 min. The precipitated fraction was then  
20 resuspended in 1 ml of 20 mM Tris-HCl pH 7.5, 500 mM KCl) and  
dialysed against the same buffer to eliminate the ammonium  
sulfate. The dialysed fraction was loaded in a 5ml fast-flow  
chelating sepharose (Pharmacia) activated with  $\text{Ni}^{2+}$  and  
equilibrated with the dialysis buffer in which the HisKis-Kid  
25 complex was retained. A gradient of 0 to 6 M of guanidinium  
chloride (GnCl) in 20 mM Tris-HCl pH 7.5 was applied to the  
column and denaturation of the HisKis-Kid complex bound to the  
column led to retention of HisKid and elution of Kid at 5.5 M

of the chaotropic agent. Denatured Kid can be stored at  $-80^{\circ}\text{C}$  until necessary. For renaturation, Kid was diluted to  $5\text{ pmol}/\mu\text{l}$  in  $6\text{ M GnCl}$ ,  $150\text{ mM ClK}$ ,  $100\text{ mM}$  phosphate buffer pH 6.5,  $20\text{ mM}$   $\beta$ -mercaptoethanol,  $0.2\text{ mM}$  EDTA and  $1.2\%$  CHAPS and 5 dialysed 5 times during 6 hours at  $4^{\circ}\text{C}$  against  $200\text{ ml}$  (per  $6\text{ ml}$  of protein) of  $100\text{ mM}$  phosphate buffer pH 6.5,  $150\text{ mM KCl}$ ,  $10\text{ mM}$   $\beta$ -mercaptoethanol,  $0.1\text{ mM}$  DTT and  $10\%$  ethyleneglycol. The soluble and refolded protein was separated from the insoluble (denatured) one by centrifuging the mix at  $40\text{ Krpm}$  10 for 60 min at  $4^{\circ}\text{C}$  in a 65 Ty rotor. The supernatant was concentrated in centricon tubes (cut off 3 K) and aliquoted after determining purity and concentration of the protein by coomassie staining on a SDS-PAGE gel and spectrophotometric analysis, respectively, and stored at  $-80^{\circ}\text{C}$ .

15

#### *Embryo microinjections*

MBPKis and Kid proteins were dialysed against buffer  $20\text{ mM}$  Tri-HCl pH 8.0,  $50\text{ mM KCl}$  and  $2\text{ }\mu\text{l}$  of MBPKid ( $160\text{ ng}/\mu\text{l}$ ) and  $2\text{ }\mu\text{l}$  of MBPKis ( $720\text{ ng}/\mu\text{l}$ ) were mixed with each other or with  $20\text{ }\mu\text{l}$  of dialysis buffer and incubated on ice for 10 min.  $50\text{ nl}$  of each mix (buffer, Kis, Kid and Kis/Kid) were microinjected into dejellied two cell embryos of *Xenopus laevis* at the animal pole of one of their cells. Microinjected and non-injected embryos were then incubated in  $4\%$  of ficoll 400 in 25 MBS buffer at  $18^{\circ}\text{C}$  and allow to progress through embryonic development until stage 8-9 (blastula) was reached in the case of the non-injected controls (7-8 hours). Embryos were then photographed and the effect of microinjections analysed

afterwards.

*HeLa cells*

*Plasmids (pNATHAs)*

- 5 Oligonucleotides EcoRIKis (5'CGGAATTCATGCATACTACCACCCGACTG3' - SEQ ID NO. 10) or EcoRINLSKis (5'CGGAATTCATGGACAAGGTTCTTAAGAAGAAGAGGAAGGTTAGCAGCATGCATACCACC GACTGAAG3' - SEQ ID NO. 11) and KisXbal (5'CTCTAGATCAGATTTCTCCTGACC3' - SEQ ID NO. 12) were used to  
10 amplify kis by PCR using a mini-R1 plasmid as template. The amplified product was digested with EcoRI and XbaI and cloned in pTRE plasmid (Clontech) between EcoRI and XbaI sites to obtain the pTREKis and pTRENLSKis plasmids, respectively. On the other hand, oligonucleotides XhoIKid  
15 (5'CCGCTCGAGATGGAAAGAGGGGAAATCT3' - SEQ ID NO. 13) and KidEcoRI (SEQ ID NO. 5) were used to amplify kid by PCR using a mini-R1 plasmid as template, and EcoRIKid (5'CGGAATTCATGGAAAGAGGGGAAATCT3' - SEQ ID NO. 14) and KidNLSXbal  
20 (5'GCTCTAGATCAAACCTTCCTCTTCTTCTTAGGAGGCCTGCTGCTAGTCAGAATAGTGGA CAGGCG3' - SEQ ID NO. 15) were used with the same purpose to obtain an NLSKid gene by PCR using a mini-R1 plasmid as template. These two PCR products were digested with XhoI and EcoRI or EcoRI and XbaI, respectively, and cloned between  
25 these sites in the plasmid pCIneo (Promega) to obtain the plasmids pCIneoKid and pCIneoKidNLS. These kid+ plasmids were amplified in a bacterial strain that overproduces Kis at the same time to abolish selection of inactivating mutants during

25 HeLa Tet Off cells stably transfected with pNATHA1i+ and pNATHA2i+ were grown in NTM until they reached approximately 80% of confluency. They were trypsinised and  $5 \times 10^6$  pNATHA1i+ and  $2 \times 10^6$  pNATHA2i+ stably transfected cells were transferred to



4 wells of a six multiwell plate and grown for 24 hours in NTM. After that, one of the wells per sample was trypsinised and these cells pelleted and stained with trypan blue. Total and trypan blue stained (dead) cells per well were counted with a cytometer. Then, 0.1  $\mu\text{g/ml}$  of Doxycycline (Sigma) was added to the rest of wells and cells were allowed to grow in this toxic medium (TM) for 2, 5 and 10 days, changing it each 4 days when necessary but retaining the floating (dead and mitotic) cells each time that fresh TM was added.

10 Trypsinisation, trypan blue staining and counting of cells was repeated for each sample to determine the total and dead number of cells per sample.

#### *Annexin V staining*

15 HeLa Tet Off cells stably transfected with pNATHA1+ and pNATHA2+ were grown in NTM until they reached approximately 80% of confluency. They were trypsinised and  $10^4$  pNATHA1+ and  $5 \times 10^4$  pNATHA2+ stably transfected cells were transferred to four dishes (two per sample) of 5 cm of diameter in which four polylysine coated coverslips were placed. Cells were allowed to settle down for 24 hours and then 0.1  $\mu\text{g/ml}$  of doxycycline was added to one of the dishes per sample. Coverslips were taken out from the dishes before (day 0) and 2, 5 and 10 days after addition of doxycycline to one of them. Fresh medium was added each 4 days if necessary. Samples growing on these coverslips were stained with FITC-Annexin V (Clontech) as suggested by the manufacturer, before fixing them, and DNA was stained with propidium iodide and Hoechst 33258. Analysis

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and counting of annexin V positive cells was done by confocal microscopy and total and apoptotic number of cells was determined.

1. A method of inhibiting cell proliferation and/or cell cycle progression, the method comprising providing within eukaryotic cells a bacterial toxin and an inhibitor of said toxin, optionally an antidote to the toxin wherein both toxin and antidote are proteins, under appropriate control for selective cell cycle inhibition and/or killing of target cells.
2. A method according to claim 1 wherein the cells are *in vitro*.
3. A method according to claim 1 or claim 2 wherein the cells are plant cells.
4. A method according to claim 1 which is therapeutic and carried out on a human or animal body.
5. A method according to any one of the preceding claims wherein the toxin is a bacterial toxin of a post-segregational killing system.
6. A method according to any one of the preceding claims wherein the toxin interferes with DNA replication, and thereby impedes cell cycle progression and/or triggers programmed cell death.

13. A method according to claim 12 wherein an inhibitor of said toxin, optionally said antidote, is provided within said cells by means of nucleic acid encoding said toxin under

control of appropriate control elements for expression.

14. A method according to claim 12 or claim 13 wherein selectivity for expression said toxin within target cells is effected by a combination of (i) up-regulation of toxin production in target cells and (ii) down-regulation of toxin production in non-target cells and/or neutralisation of toxin activity in non-target cells.

15. A method according to claim 14 wherein neutralisation of toxin activity in non-target cells is effected by upregulation of antidote production in non-target cells.

16. A method according to any one of claims 12 to 15 wherein said target cells are tumour cells.

17. A method according to any one claims 11 to 16 wherein said toxin is *ParD* kid protein and said antidote is *ParD* kis protein.

20

18. A composition comprising:

(i) a bacterial toxin and an inhibitor of said toxin, optionally an antidote to said toxin wherein both toxin and antidote are proteins, or

25 (ii) nucleic acid encoding a bacterial toxin and an inhibitor of said toxin, optionally an antidote to said toxin wherein both toxin and antidote are proteins,

for use in a therapeutic method according to any one of

10           in the manufacture of a medicament for use in a  
therapeutic method according to any one of claims 4 to 17.

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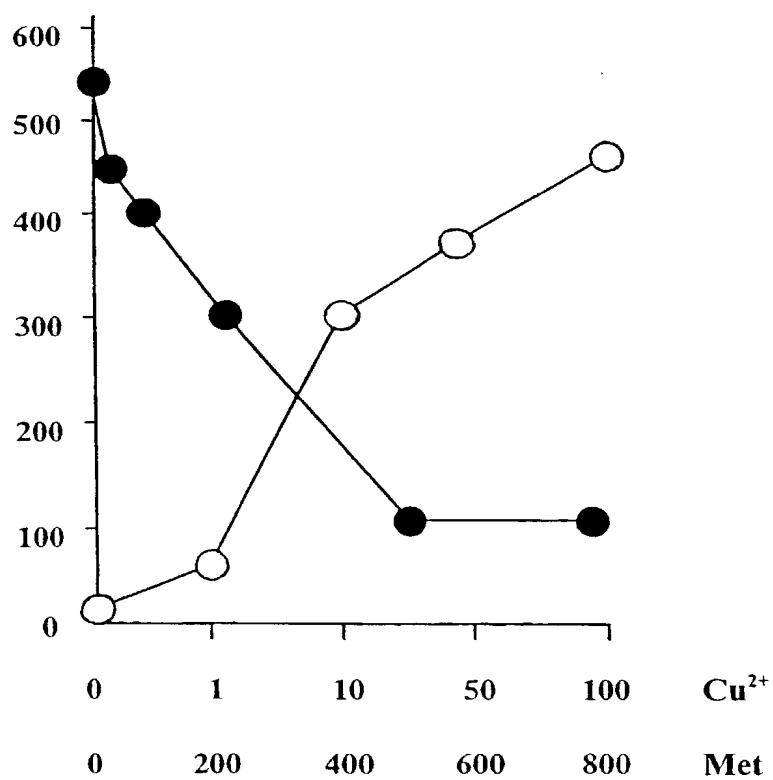
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(57) Abstract: A method of inhibiting cell proliferation and/or cell cycle progression, the method comprising providing within eukaryotic cells a bacterial toxin, in particular a bacterial toxin of a post-segregational killing system such as *ParD*. Activity or production of toxin and/or inhibitor, e.g. antidote, are controlled for selective killing of target cells, not-target cells. Target cells include tumour cells.

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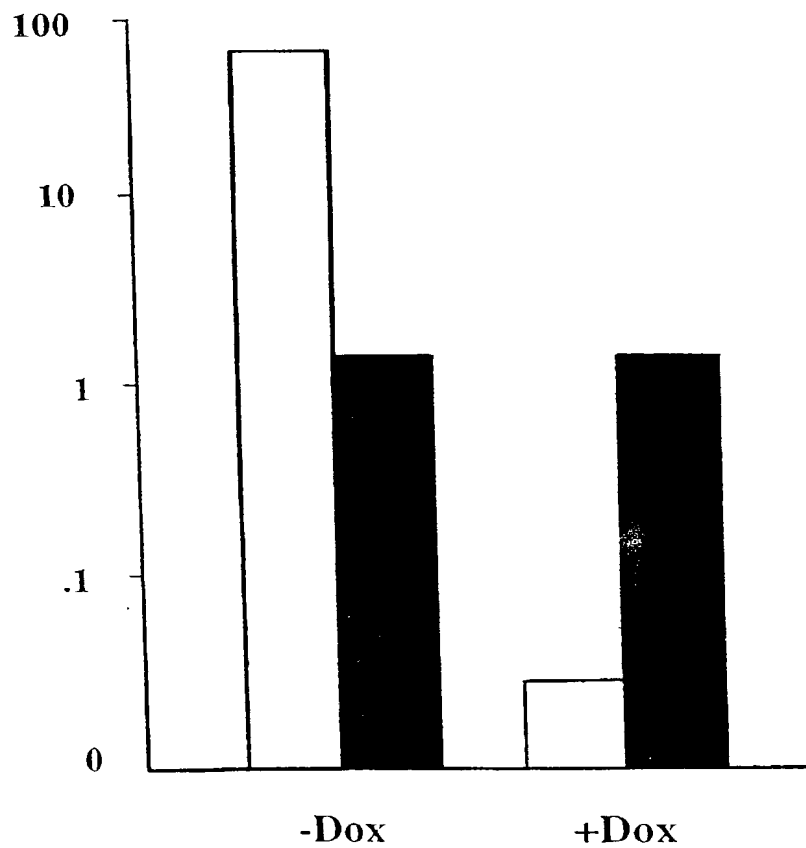
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Figure 1



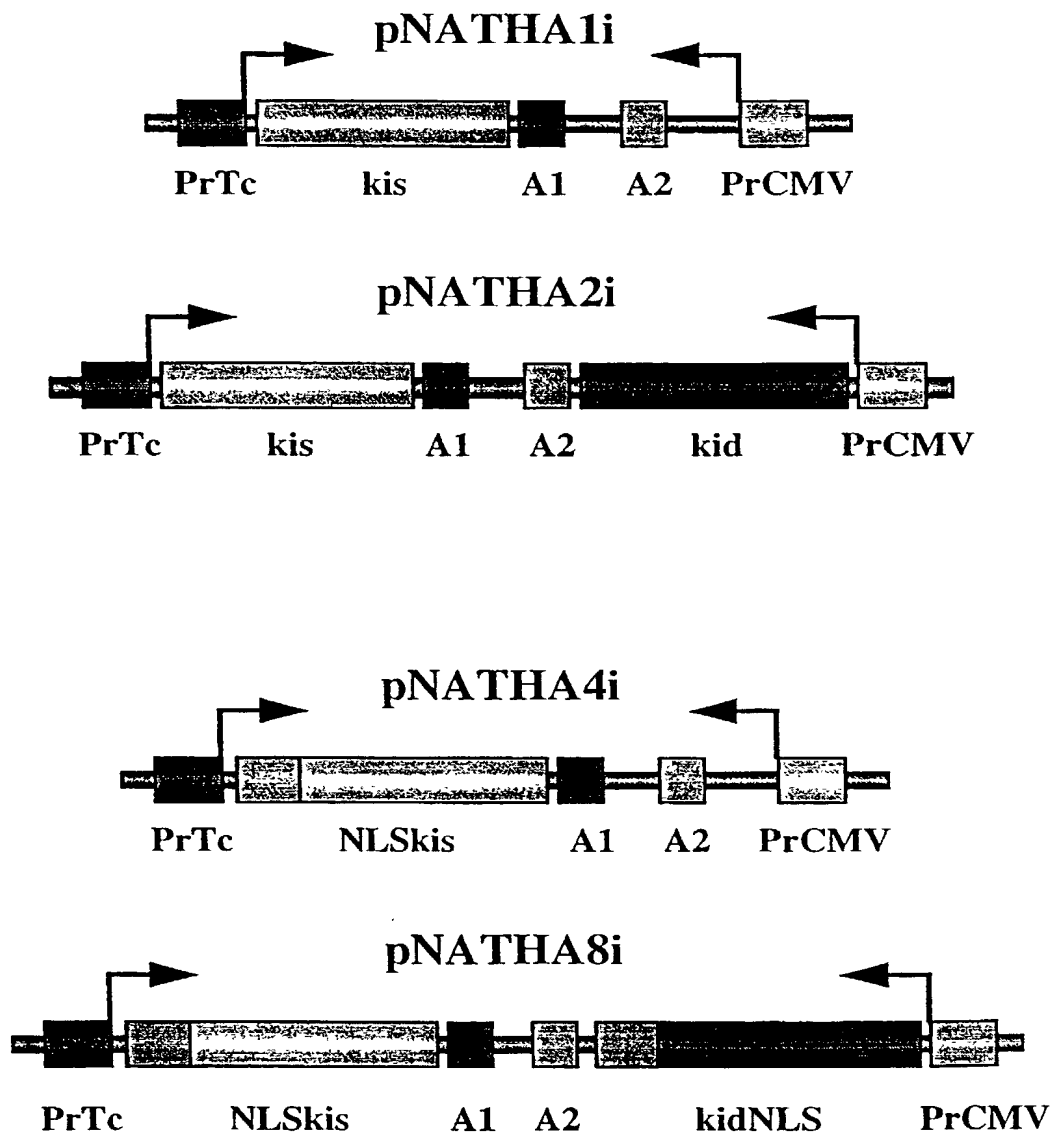
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Figure 2



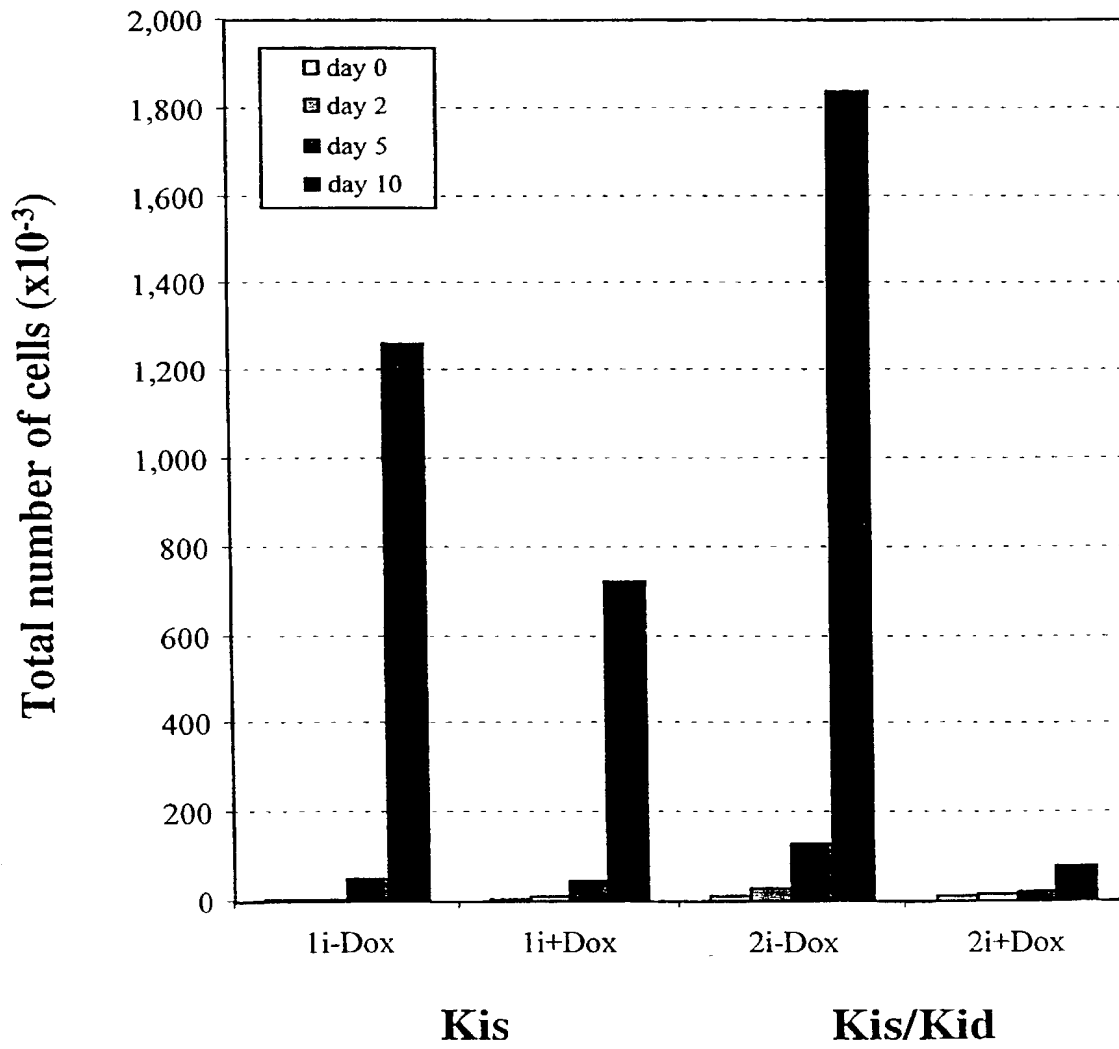
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Figure 3

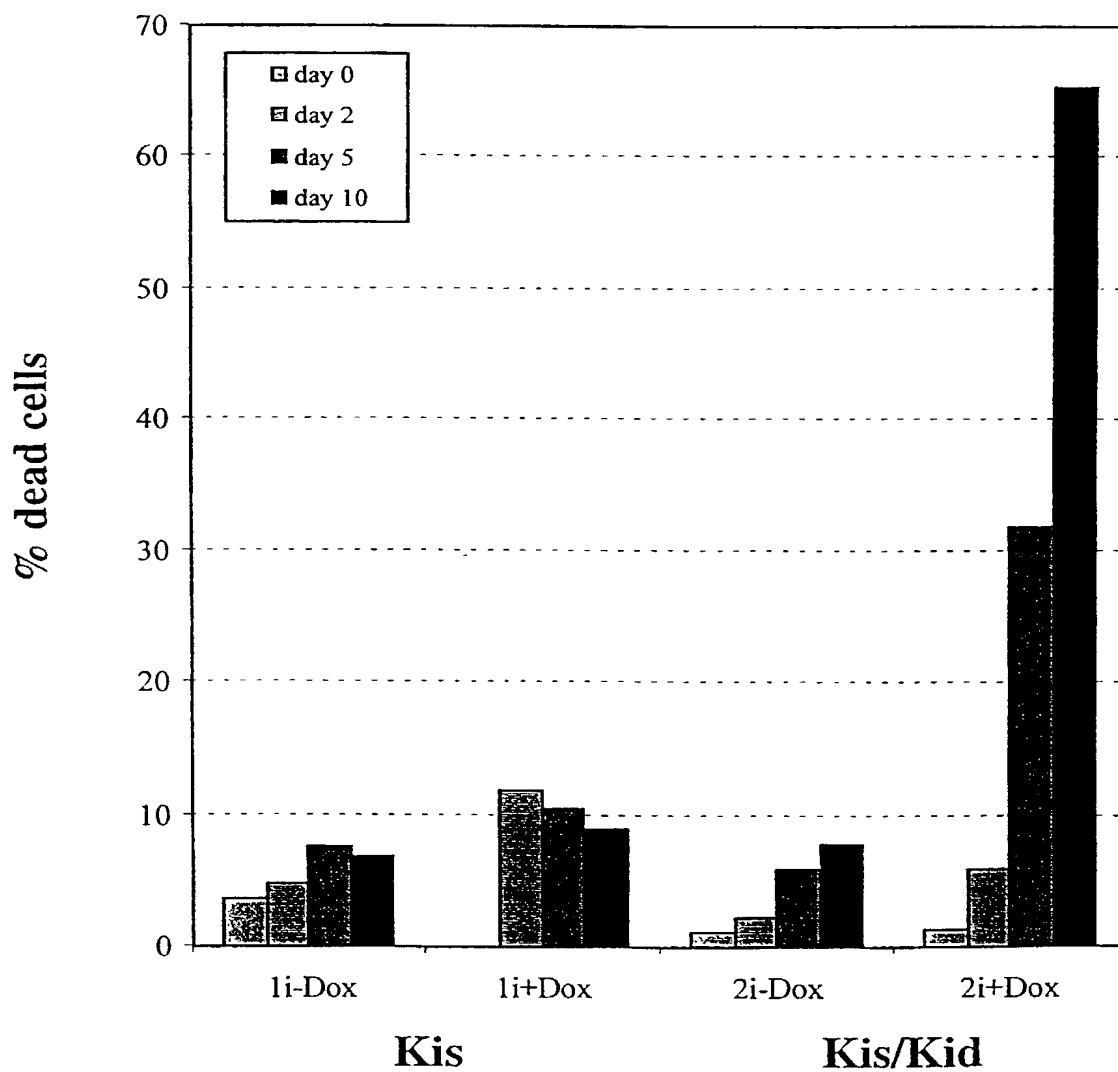


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Figure 4

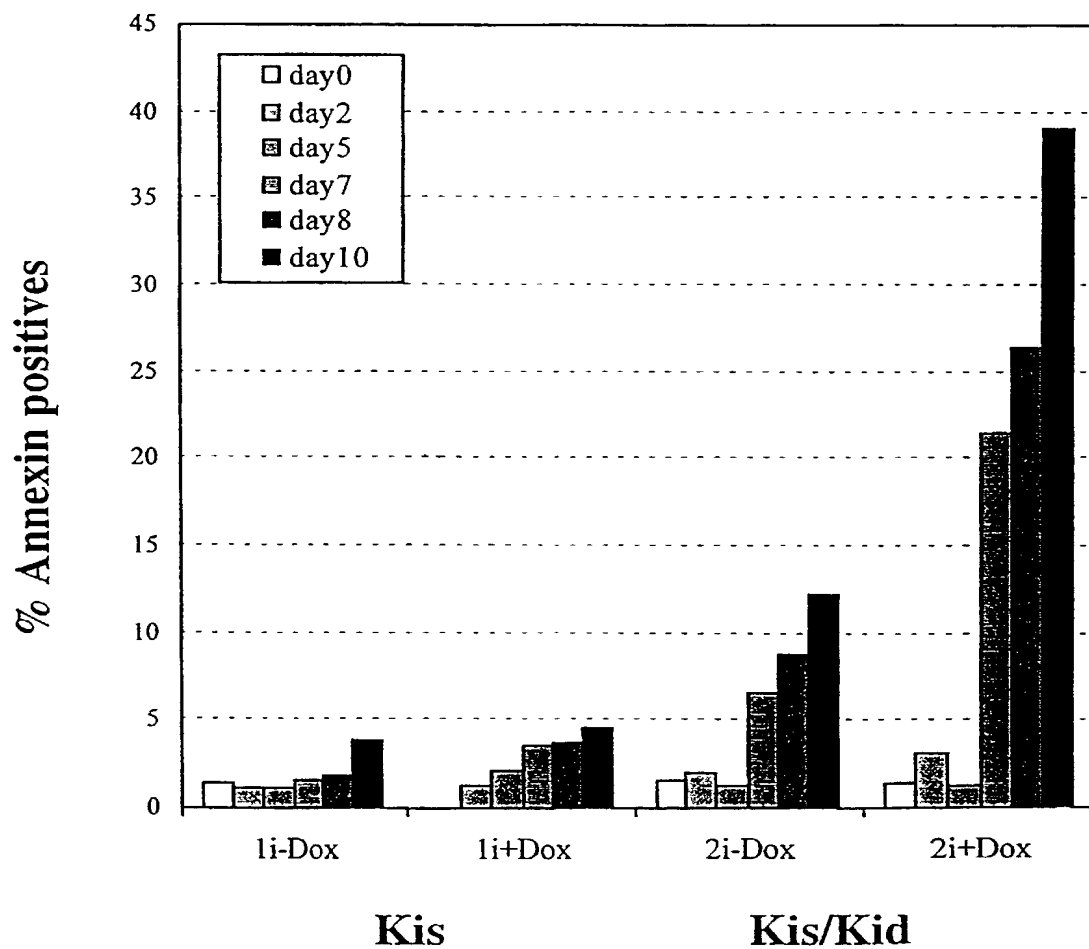


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Figure 5

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Figure 6



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**RULE 63 (37 C.F.R. 1.63)**  
**INVENTORS DECLARATION FOR PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

As a below named inventor, I hereby declare that my residence, mailing address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**METHODS EMPLOYING BACTERIAL TOXIN-ANTITOXIN SYSTEMS FOR KILLING EUKARYOTIC CELLS**

the specification of which (check applicable box(es)):  
☐ is attached hereto  
☒ was filed on January 14, 2002 as U.S. Application Serial No. (Atty Dkt. No. 620-180)  
☒ was filed as PCT International application No. PCT/GB00/02743 on 17/07/2000  
and (if applicable to U.S. or PCT application) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose to the Patent Office all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. I hereby claim foreign priority benefits under 35 U.S.C. 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed or, if no priority is claimed, before the filing date of this application:

Priority Foreign Application(s):

Application Number	Country	Day/Month/Year Filed
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I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below.

Application Number	Date/Month/Year Filed
--------------------	-----------------------

I hereby claim the benefit under 35 U.S.C. 120/365 of all prior United States and PCT international applications listed above or below:

Prior U.S./PCT Application(s):

Application Serial No.	Day/Month/Year Filed	Status: patented pending, abandoned
PCT/GB00/02743	17/07/2000	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. And on behalf of the owner(s) hereof, I hereby appoint **NIXON & VANDERHYE P.C., 1100 North Glebe Rd., 8<sup>th</sup> Floor, Arlington, VA 22201-4714, telephone number (703) 816-4000 (to whom all communications are to be directed)**, and the following attorneys thereof (of the same address) individually and collectively owner's/owners' attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith and with the resulting patent. Larry S. Nixon, 25640; Arthur R. Crawford, 25327; James T. Hosmer, 30184; Robert W. Faris, 31352; Richard G. Besha, 22770; Mark E. Nusbaum, 32348; Michael J. Keenan, 32106; Bryan H. Davidson, 30251; Stanley C. Spooner, 27393; Leonard C. Mitchard, 29009; Duane M. Byers, 33363; Jeffry H. Nelson, 30481; John R. Lastova, 33149; H. Warren Burnam, Jr. 29366; Mary J. Wilson, 32955; J. Scott Davidson, 33489; Alan M. Kagen, 36178; Robert A. Molan, 29834; B. J. Sadoff, 36663; James D. Berquist, 34776; Updeep S. Gill, 37334; Michael J. Shea, 34725; Donald L. Jackson, 41090; Michelle N. Lester, 32331; Frank P. Presta, 19828; Joseph S. Presta, 35329; Joseph A. Rhoa, 37515; Raymond Y. Mah, 41426; Chris Comuntzis, 31097; Gary T. Tanigawa, 43180. I also authorize Nixon & Vanderhye to delete any attorney names/numbers no longer with the firm and to act and rely solely on instructions directly communicated from the person, assignee, attorney, firm, or other organization sending instructions to Nixon & Vanderhye on behalf of the owner(s).

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FOR ADDITIONAL INVENTORS, check box ☐ and attach sheet with same information and signature and date for each.